



FILE NO. A32159-070050.0945

PATENT

#3/A
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12-19-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Nemo Semret et al.
Serial No. : 09/383,094 Examiner : Rimell, S.
Filed : August 25, 1999 Group Art Unit: 2166
For : SYSTEM AND METHOD FOR ALLOCATING RESOURCES USING
SPOT MARKET AND DERIVATIVE MARKET TECHNIQUES

AMENDMENT

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Paul D. Ackerman
Attorney Name

39,891
PTO Registration No


Signature

November 1, 2001
Date of Signature

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

BAKER BOTTS LLP**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/383,094
Filing Date	August 25, 1999
First Named Inventor	Nemo Semret et al.
Examiner Name	Rimell, S.
Group Art Unit	2166
Attorney Docket No.	A32159-070050.0945

TOTAL AMOUNT OF PAYMENT (\$) 0RECEIVED
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METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number 02-4377 Deposit Account Name Baker Botts LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other			
FEE CALCULATION			
1. BASIC FILING FEE			
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
740	370	Utility filing fee	
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	
SUBTOTAL (1) (\$) 0			
2. EXTRA CLAIM FEES			
Total Claims 12 - 20 ** = 0 x Fee from below = 0			
Independent Claims 2 - 3 ** = 0 x Fee from below = 0			
Multiple Dependent			
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
18	9	Claims in excess of 20	
84	42	Independent claims in excess of 3	
280	140	Multiple dependent claim, if not paid	
84	42	** Reissue independent claims over original patent	
18	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$) 0			
*or number previously paid, if greater; For Reissues, see above			
		Other fee (specify) _____	
		SUBTOTAL (3) (\$) 0	
		*Reduced by Basic Filing Fee Paid	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Paul D. Ackerman	Registration No. (Attorney/Agent)	39,891
Signature		Telephone	212-408-2585
		Date	November 1, 2001

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2166

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FORM**

(to be used for all correspondence after initial filing)

Application Number	09/383,094
Filing Date	August 25, 1999
First Named Inventor	Nemo Semret et al.
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Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

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| <input checked="" type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached
<input checked="" type="checkbox"/> Amendment / Reply
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)
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Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112	
Signature		Att Name: Paul D. Ackerman PTO Reg: 39,891
Date	November 1, 2001	

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Typed or printed name	Paul D. Ackerman	
Signature		Date November 1, 2001

BAKER BOTTS LLP

Attorney Docket Number: A32159-070050.0945

Title:

SYSTEM AND METHOD FOR ALLOCATING RESOURCES USING SPOT MARKET AND DERIVATIVE MARKET
TECHNIQUES



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